

Notice of References Cited	Application/Control No. 09/972,193	Applicant(s)/Patent Under Reexamination DAWSON, BRIAN T.	
	Examiner Scott S. Trotter	Art Unit 3694	Page 1 of 1

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*	B	US-5,025,391	06-1991	Filby et al.	706/45
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NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	Barron's Dictionary of Finance and Investment Terms Fourth Edition Copyright 1995
	V	Charles Schwab website About Mutual Fund Investing at Schwab March 4, 2000
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*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
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